

AN INTRODUCTION TO NRI

NRI is an award-winning global provider of system solutions and consulting services for investment banks, asset managers, banks and insurance providers. Operating for 50 years, NRI offers clients holistic support, underpinning all aspects of operations.

MARKET CAP:
\$8.8 BILLION

CONSOLIDATED SALES:
\$3.4 BILLION

EMPLOYEES:
OVER 10,000

RANKED:
IN THE TOP 10 FINANCIAL IT
VENDORS IN THE WORLD FOR
SIX CONSECUTIVE YEARS

OFFICES:

35 OFFICES IN 14 COUNTRIES

LOCATIONS IN MAJOR FINANCIAL CENTERS LIKE TOKYO,
NEW YORK, LONDON, HONG KONG AND MORE



SAMPLE GLOBAL CLIENTS



OUR MISSION

NRI's key focus is our **Clients, Quality** and **Innovation** by doing the following:

Provide the highest-level of commitment to client satisfaction: NRI encompasses a "Never Fail" mentality to ensure on time delivery for cost effective solutions and service.

Nurture long-term strategic partnership with clients: Our knowledge of the industry allows us to build an adaptable and efficient solution to address each client's unique needs.

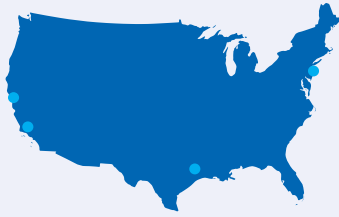
Equip clients with experienced, trained and knowledgeable staff: NRI invests in their staff providing ongoing education and training to ensure continued quality in service.

Global availability: Our world-wide presence in all significant financial centers allows us to support multi-location projects.

Dedicated research and innovation: Our industry leading research and product development team is able to introduce new solution alternatives and innovations for operational excellence.



NRI US SERVICES AND SOLUTIONS



NRI provides a wide range of products, system integration services and advisory expertise in the United States, which was built through the strength of long-standing relationships with our global clients. We aim to provide our clients securities processing and data governance solutions that adapt to the regional and global regulatory environment.

PRODUCT: Our products are developed to address the full cycle post-trade processing. These include:

- Prime Settlement Service (PSS): A post-trade utility service
- A global settlement processing solution
- Reconciliation: Configuration of complex reconciliation processes using domain specific language (DSL)
- Client Document Management
- Global Positioning Management

Case Study - Document Control: Broker Dealer - Implemented document management system for KYC Americas and EU operations.

SYSTEM INTEGRATION: NRI works with industry leading technology and services providers seamlessly to create the best solution for our clients. We do this with a five-step approach:

- Center of Excellence: Top research and analysis and trained staff in risk, data governance and regulatory reports
- Consultation: Prepare gap analysis and recommendations for business process optimization
- Implementation: Configuration and customization to clients desired solutions
- Version Upgrade and Support: Prepare gap and impact analysis; constant support and access to global sales staff
- QA and Testing: Activate proven QA methodology and automated test accelerator.

Case Study - Middle-Office Trade Processing: Broker Dealer - Integrated customized enterprise customer confirmation solution with front and middle office systems.

ADVISORY SERVICES: Consultation is provided by experienced and highly skilled industry professionals in the following areas:

- Operational Risk
- Data Governance
- Target Operation Model
- Regulatory and Surveillance
- Operational Efficiency

Case Study - Data Warehouse: Broker Dealer - Designed and developed proprietary DWH to support US operations. Served as core data source for Finance, Treasury, Risk, Compliance and Operations.