
UNAVISTA OVERVIEW



London
Stock Exchange Group

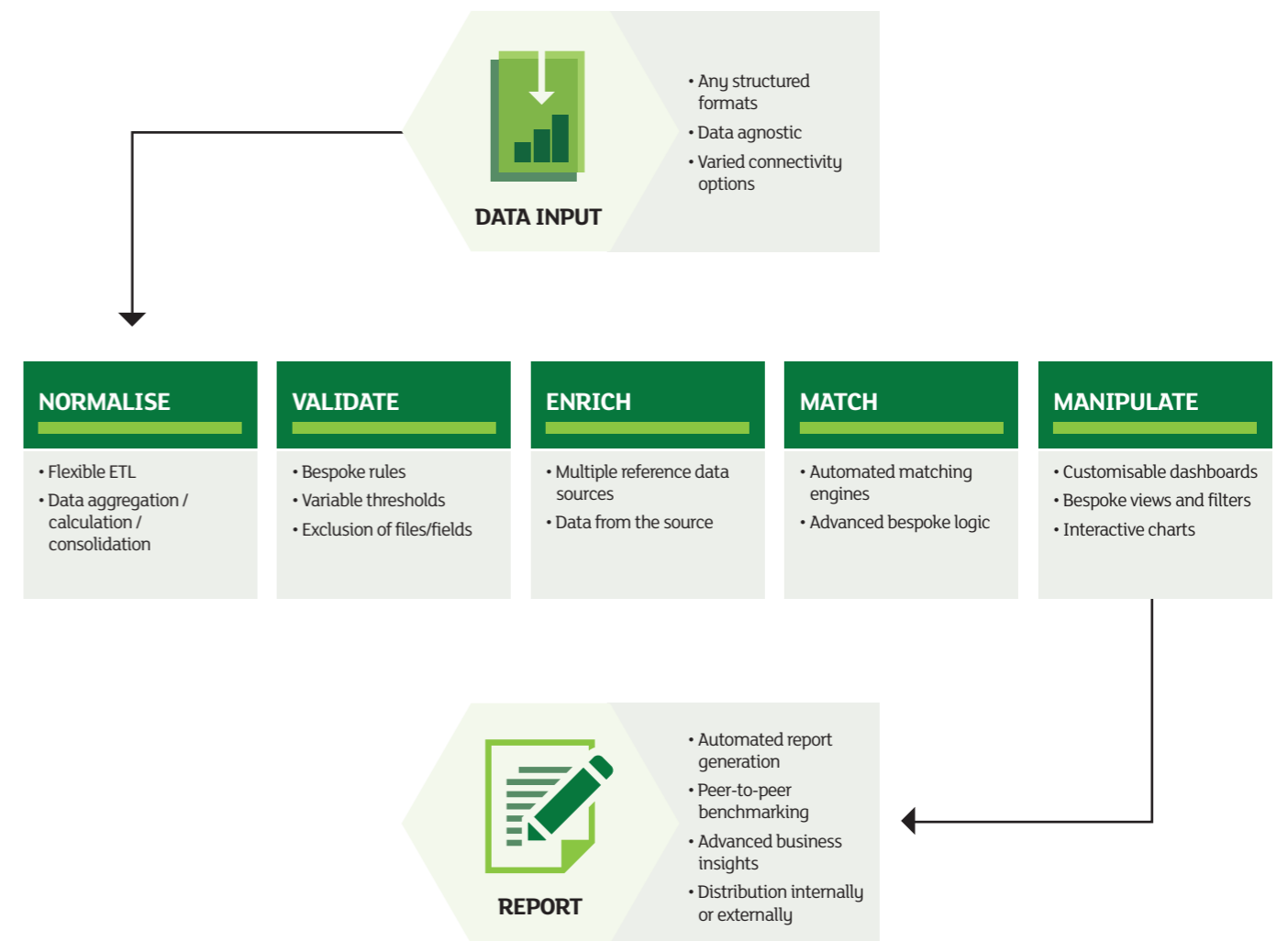
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UNAVISTA gives companies the structure and means to not only be compliant, but to improve their business operations through efficiency, insight and control.

WHAT DOES UNAVISTA DO?

UnaVista is a technology platform that allows firms to reduce their operational and regulatory risk. UnaVista excels at ingesting data in any format, displaying that data in a unified way for manipulation and then disseminating that information off to regulators or counterparties in the desired format.



UNAVISTA SOLUTIONS

01 REGULATORY SOLUTIONS

UnaVista helps thousands of firms meet many global regulatory requirements. By acting as a hub the platform can combine multiple data sets and use them across multiple regulations, providing efficiencies in process and unique business insight. UnaVista is an approved and regulated across many regimes but can also act as a routing mechanism to the clients preferred end point.



02 RISK AND CONTROLS

These products help firms create efficiencies through automation. UnaVista allows for quicker and more accurate processing of data and can be used to generate alerts and triggers based on bespoke client rules.



03 DATA AND ANALYTICS

UnaVista hosts a number of global reference data feeds such as SEDOL, ISIN and LEI, but can also ingest third party feeds to enrich client data. The analytics engine and dashboards give management powerful insights into their data. UnaVista's large global community of clients allows us to provide unique benchmarking against your peers across a number of different data points.



10 WAYS WE HELP OUR CLIENTS

- 01 Flexible rules based code
- 02 Highly configurable back end
- 03 Import data in any format
- 04 Hosted/Local install/Cloud software
- 05 Fast implementation
- 06 Advanced permissioning
- 07 Easy to use graphical interface
- 08 Secure LSEG data centres
- 09 Experience of the LSEG
- 10 Customisable management dashboards

“We have dealt with UnaVista over the past four years. As a subscriber to their products and services, these have always been very reliable and user friendly, it is quite refreshing to utilise a daily product, knowing that we have excellent professional customer support, from individuals who understands the regulatory reporting requirements and our business model.”

Head of Compliance, Otkritie Capital

THE TECHNOLOGY POWERING UNAVISTA

UnaVista is built in a uniquely flexible way, but also benefits from some of the world's most advanced technology.

01 DYNAMIC DATA MODEL

This is what makes UnaVista truly data and format agnostic.

02 BIG DATA

Allows UnaVista to be highly scalable and process in excess of 100,000 rows of data per second.

03 DATA

UnaVista can accept any structured data formats in a wide range of connectivity methods.

04 ETL

Advanced translation, normalisation and enrichment capabilities.

05 END-TO-END ENCRYPTION

Advanced encryption from in transit to rest.

06 REGULATED DATA CENTRES

Some of the most secure hosting available including synchronous replication.

07 BLOCKCHAIN INTERFACE

UnaVista is spearheading the LSEG's blockchain programme, providing the interface for a number of projects.

08 INFRASTRUCTURE FOR REGULATORS

UnaVista connects to regulators, but also provides the technology for which many regulators accept and store data.

HOW UNAVISTA CONNECTS WITH LSEG'S BUSINESSES

UnaVista is connected to all of the London Stock Exchange Group's platforms and markets, providing seamless interaction for customers. However UnaVista also believes in an Open Access approach and is connected to many other global markets, technology providers, regulators, trade repositories and data providers around the world. That means customers can choose to work with the vendors they choose in the way they need, no barriers.



“As a trusted, neutral and regulated company, the London Stock Exchange is uniquely positioned to provide solutions that address industry-wide challenges. UnaVista is one such critical commitment to the industry we serve. It is a technologically advanced, hosted platform which offers our clients a range of business solutions including regulatory reporting, data solutions & reconciliations, and is designed to help our clients’ businesses become more efficient and more profitable whilst reducing their risk exposure.”

**Xavier Rolet, CEO,
London Stock Exchange Group**

UNAVISTA
REGULATORY SOLUTIONS



UNAVISTA REGULATORY SOLUTIONS



REGULATORY REPORTING

UnaVista helps firms with their global trade and transaction reporting needs, providing one platform from which they can report for multiple regulations. We help 1000s of counterparties report billions of transactions a year, providing them with not only an advanced reporting framework, but giving them insight to see common failures, training, quality assurance and more.

Examples – MiFID, EMIR, SFTR



G20 REPORTING

UnaVista acts a central hub for all of your global derivative trade reporting requirements. Giving you visibility of your trade across you business we can manage the connections to global regulators/ repositories so you don't have to.

Examples – U.S.A., Switzerland, Canada, Australia, Singapore, Japan



SHAREHOLDER DISCLOSURES

UnaVista can assist with multiple global shareholder disclosure regimes, helping firms identify when they've hit thresholds for long or short positions, automatically generating the report for you to send to the relevant regulator

Examples – Transparency Directive, Beneficial Ownership Scheme (Sec13 D/F/G), Short Selling, UK M&A



POSITION REPORTING

The platform provides data aggregation of positions and valuations across venues and asset types. UnaVista can then manage the connections to the regulators including the relevant to templating of the reports.

Examples – AIFMD, Form PF

Best Regulatory Reporting Platform

Operational Risk Awards 2016

European Trade Repository of the Year

FOW Awards 2014

Best EMIR & Regulatory Reporting Platform

Compliance Register Awards 2015

Best EMIR & Regulatory Reporting Platform

Compliance Register Awards 2014



5+ BILLION

**TRANSACTIONS
PROCESSED ANNUALLY**

UNAVISTA
RISK AND CONTROLS



UNAVISTA

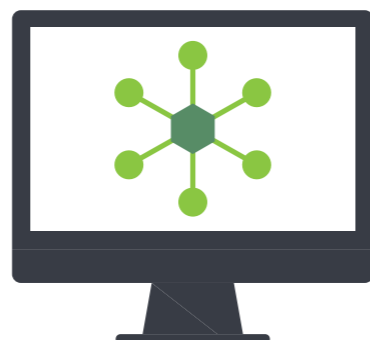
RISK AND CONTROLS



RECONCILIATIONS

UnaVista provides a flexible toolkit of functionality to assist firms with any type of reconciliations they require. Clients have the choice of a range of delivery methods (hosted, local install, utility model) and level of customisation they require.

Examples – FOBO, System to System, Regulatory, NAV, \ Portfolio Reconciliation...



TRADE CONFIRMATION MATCHING

UnaVista can facilitate the matching of trades between a broker and their client or even triparty matching. The platform allows clients to reduce settlement costs through bilateral netting and matching on T+0.

Examples – Connections to Omgeo CTM, T2S matching, FIX/Swift, derivatives trade processing



SURVEILLANCE

UnaVista can give firms the tools to catch issues before they arrive. Advanced real-time triggers and alerts based on companies bespoke thresholds

– MAR



TRAINING AND GOVERNANCE

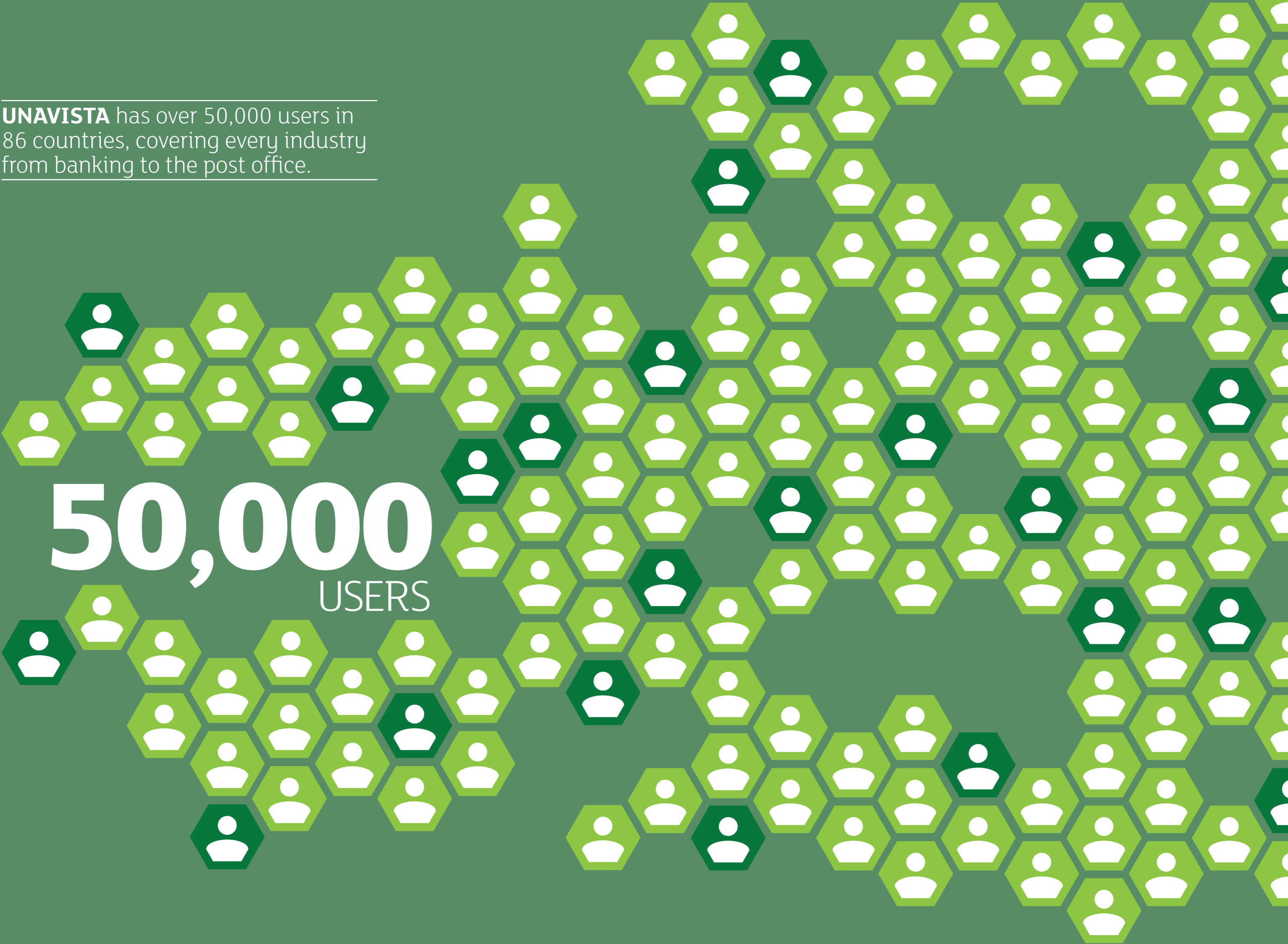
UnaVista runs a number of CPD certified training courses to get your staff up to speed with regulation whether that's reporting best practice or using the platform better.

Examples – Certified Foundation Courses, Bespoke Courses, Distance Learning, On-demand training

UNAVISTA provides firms with a flexible toolkit of functionality which can help them automate middle office processes to reduce risk and improve efficiency.

UNAVISTA has over 50,000 users in 86 countries, covering every industry from banking to the post office.

50,000
USERS



UNAVISTA

DATA AND ANALYTICS



UNAVISTA

DATA AND ANALYTICS



DATA MANAGEMENT

UnaVista can act as a central repository for you to manage all of your data. Send it in from multiple source in multiple formats, UnaVista can normalise it and enrich it so that you can compare and manipulate. This includes managing your LEI application process where you can compare your and your clients data against the GLIEF to ascertain where the gaps are.

- LEI Data Management Tool
- SEDOL Masterfile



MANAGEMENT INFORMATION

UnaVista's bespoke dashboards can be configured to a users preference, providing a quick graphical interface of the data that's important to you.



DATA FEEDS

UnaVista can assist firms with a range of reference data from the source, including LEI, SEDOL, MiFIR eligibility file and ISIN. We can even splice the data by regulation, market or asset class.



PEER-TO-PEER

UnaVista's community of over 50,000 users and 2,000 companies enables us to provide anonymised peer comparison. So you can see how you rank across a number of different data points against the subsections of the industry that matter to your business.

UNAVISTA analytics give clients the opportunity to improve their business processes, so they are not just complying but they are becoming more efficient.

USED IN 86 COUNTRIES GLOBALLY

UNAVISTA's offices in 3 continents allow us to support a global client base with a 24 hours a day follow-the-sun support model.



UNAVISTA CONTACTS

Europe

10 Paternoster Square
London
EC4M 7LS
United Kingdom

Tel: +44 (0)20 7797 1214

Twitter: @unavista
www.lseg.com/unavista

North America

1270 Avenue of The Americas
21st Floor
New York, New York, 10020
United States

Tel: +1 212 314 1192

Asia Pacific

1 Millennium Drive
Colombo
10115, Malabe
Sri Lanka

