

SureCloud[®]

SOLUTION SHEET



Senior Managers & Certification Regime (SMCR) Management

Gain control of your SMCR requirements and demonstrate compliance with our innovative solution.

The Challenge

The Senior Managers and Certification Regime (SMCR) presents some unique challenges to any financial services organisation, with the Financial Conduct Authority (FCA) seeking to ensure that organisations within the sector are focused on protecting consumers' interests. Some key questions to consider include:

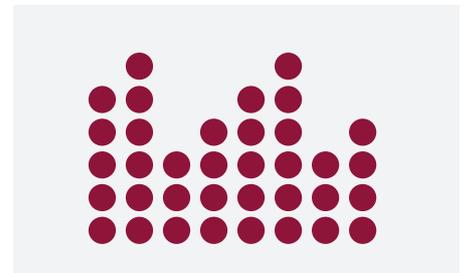
- How do you know to what level you need to comply with the regime?
- How do you embed a SMCR workflow into your governance frameworks and HR processes?
- How do you record and ensure that staff, at all levels, clearly understand where responsibility lies?
- How can you demonstrate to the FCA that your staff and hiring processes, are aligned with the SMCR?

The Solution

SureCloud's Senior Managers and Certification Regime (SMCR) solution enables you to easily embed best practice, process, and workflow into your wider Governance frameworks as well as your HR processes. The SMCR solution helps you to understand how the regime may impact your business and how it might apply to your organisation: are you Limited Scope, Core Scope or Enhanced, the answer to which drives all downstream activity?

Once your scope has been established, SureCloud's SMCR solution helps to build a centralised register of your roles and responsibilities, the employees that hold them, and how these roles map to functions defined within the regime. The software can then be embedded into your HR and Governance frameworks to ensure individuals are appropriately vetted and certified depending on their responsibilities, with much of the workflow being fully automated.

Finally, SureCloud helps to consolidate and report on your SMCR overall status to demonstrate compliance, via powerful dashboards.



“Very impressed with the depth and detail that SureCloud has put into their UK SMCR solution. Very well thought out.”

Michael Rasmussen, GRC 20/20

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Key benefits

Simple Solutions Delivering Enterprise Outcomes

SureCloud is a provider of cloud-based, integrated Risk Management products, which reinvent the way you manage risk.

- Streamline your processes
- Works as your single source of truth
- Automate your activities
- Real time dashboarding and reporting
- Great user experience
- Integrate your Governance, Risk and Compliance journeys

“With UK regulators intent on driving cultural change at all levels within the banking and insurance industries, the Senior Managers and Certification Regime (SMCR) provides significant challenges to organisations looking to manage the impact to their business. SureCloud’s SMCR software solution aims to cut through this complexity and helps operationalise your SMCR program; aiding the documentation of decision making, record keeping and maintenance of a comprehensive audit trail.”

SureCloud’s Product Director, Alex Brown

17,200

investment firms will be impacted

£642,430

fine for the Chief Executive of Barclays Group (the first FCA enforcement case for SMCR)

3

tiers under SMCR (Core, Enhanced and Limited Scope)

Trusted by Companies around the world:



Book a demo

Let us help you reinvent the way you manage risk.

Visit our website or contact one of our experts to find out more.

sales@surecloud.com

Why SureCloud

SureCloud is a provider of cloud-based, integrated Risk Management products and Cybersecurity services, which reinvent the way you manage risk. SureCloud connects the dots with integrated Risk Management solutions enabling you to make better decisions and achieve your desired business outcomes. SureCloud is underpinned by a highly configurable technology platform, which is simple, intuitive and flexible. Unlike other GRC Platform providers, SureCloud is adaptable enough to fit your current business processes without forcing you to make concessions during implementation; meaning you get immediate and sustained value from the outset.

For more information visit www.surecloud.com

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