



SERVICE BENEFITS

- *Managed end to end service*
- *Near-shore/on-shore balanced solution*
- *Metric Reporting*
- *Cost optimised BAU solution*
- *Professionally trained resources*
- *SME's in London and Belfast*

FinTrU (Financial Transparency Utility) Limited provides Outsourcing of skilled, human-capital intensive and client-facing processes for financial services clients. Outsourcing is offered from our near-shore Belfast Centre of Excellence in conjunction with our London Office.

Transaction reporting is required under a number of regulations worldwide and is comprised of information relating to both the economics of the trade as well as counterparty data. Exemptions exist for certain asset classes and product types, for example FX spot (EMIR).

Under MiFID, the transaction report is made via an Approved Reporting Mechanism (ARM) on a T+1 basis. Under EMIR, the transaction report is made to a trade repository, also on a T+1 basis - this is known as End of Day (EOD) reporting. Dodd-Frank also utilises trade repositories, though trades must be reported 'as soon as technologically possible'.

There are many processes involved in regulatory reporting both pre and post the actual report being sent. We have been involved in various stages of trade reporting from client on boarding and static data, to the actual sending of trade reports and beyond to activities such as pairing and matching.

When a house trade is manually paired with a counterparty submission which the TR failed to pair, one of four reasons will be identified. Each will require a different response and involves a varied amount of work:

